

NAME OF TAX PRACTICE
TAX PRACTICE MAILING ADDRESS
PHONE #
FAX #
EMAIL

Note: The consent must be in at least 12-pt type (no more than 12 characters per inch) and must be on 8 ½ by 11 inch or larger paper.

Consent for Use of Tax Return Information

Federal law requires this consent form be provided to you. Unless authorized by law, we cannot use your tax return information for purposes other than the preparation and filing of your tax return without your consent.

You are not required to complete this form to engage our tax return preparation services. If we obtain your signature on this form by conditioning our tax return preparation services on your consent, your consent will not be valid. Your consent is valid for the amount of time that you specify. If you do not specify the duration of your consent, your consent is valid for one year from the date of signature.

INSERT TAX/CPA FIRM NAME and its tax preparers (the "Firm") request your consent to use your Tax Return Information which includes but may not be limited to, all communications with my Tax Preparer ("Preparer") and any information Preparer derives or generates from Tax Return Information in connection with my tax returns for the purpose of providing you with information about various tax planning strategies and financial services and products, which may include, but is not limited to: individual and business tax planning, estate and gift tax planning, business consulting, bookkeeping services, retirement planning, investment advice, investment products and services (e.g., stocks, bonds, mutual funds, insurance, and annuities) and long-term care and life insurance. Services are dependent upon the licenses held by your tax preparer. The Firm will distribute information in various ways including, but not limited to: newsletter, email, postal mail, website, phone, or other means of communication.

I understand that Preparer may use all of my Tax Return Information in connection with his/her affiliation with (a) Avantax Investment Servicessm; (b) Avantax Advisory Servicessm (AAS), a registered investment adviser; and/or (c) affiliates of those entities (collectively, "Avantax"), all of which are headquartered at 3200 Olympus Blvd, Suite 100, Dallas, TX 75019. Avantax does not offer, provide or supervise tax advice or tax preparation services, and any services provided by Avantax will be pursuant to a written agreement directly with the relevant Avantax entity.

I understand that [**INSERT NAME OF TAX/CPA FIRM**] is not a registered broker-dealer or a registered investment adviser.

I acknowledge that if I make an investment through Avantax that Preparer will receive a part of any management fees, commissions, or other fees paid on investments I make. I understand that I am under no obligation whatsoever to follow any recommendations made or to purchase any other products or services offered by or through Preparer.

INSERT TAX RETURN PREPARER NAMES are affiliated with Avantax Wealth Management and its affiliates ("Avantax") as independent contractors solely for the purpose of providing clients with financial products and services.

☐ I/we **consent** to the use of my/our tax return information for this purpose.

☐ I/we **do not consent** to the use of my/our tax return information for this purpose.

The duration of this consent is three years from the date of signature, unless a more limited duration is specified here: I/we specify the duration of the above consent to be ____ years from the date of signature.

Taxpayer 1 Printed Name	Signature	Date
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Taxpayer 2 Printed Name	Signature	Date
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If the Firm also prepares tax returns for your *minor* children (under age 18), please print their names below. This consent also applies to their Tax Return Information.

If you believe your tax return information has been disclosed or used improperly in a manner unauthorized by law or without your permission, you may contact the Treasury Inspector General for Tax Administration (TIGTA) by telephone at 1-800-366-4484, or by email at complaints@tigta.treas.gov.

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Consent for Disclosure of Tax Return Information

Federal law requires this consent form be provided to you. Unless authorized by law, we cannot disclose your tax return information to third parties for purposes other than the preparation and filing of your tax return without your consent. If you consent to the disclosure of your tax return information, Federal law may not protect your tax return information from further use or distribution.

You are not required to complete this form to engage our tax return preparation services. If we obtain your signature on this form by conditioning our tax return preparation services on your consent, your consent will not be valid. If you agree to the disclosure of your tax return information, your consent is valid for the amount of time that you specify. If you do not specify the duration of your consent, your consent is valid for one year from the date of signature.

INSERT TAX/CPA FIRM NAME and its tax preparers (the "Firm") request your consent to disclose your Tax Return Information as described below.

Purpose One: Disclosure for Compliance with Securities Laws & Regulations

Why is my information being disclosed? **INSERT TAX PREPARER NAMES** are affiliated with Avantax Wealth Management and its affiliates ("Avantax") as independent contractors for the purpose of providing clients with financial products and services. The securities laws and regulations require Avantax to capture, retain and supervise its financial services professionals' communications related to Avantax's business.

Where is my information being sent? Avantax Wealth Management ("Avantax") is composed of: (a) Avantax Investment ServicesSM, a registered broker-dealer; (b) Avantax Advisory ServicesSM, a registered investment adviser; and (c) Avantax affiliates, all of which are headquartered at 3200 Olympus Blvd, Suite 100, Dallas, TX 75019.

What information will be disclosed, and how? Avantax captures, retains, and may review all electronic communications between you and the Firm, regardless of sender or recipient. These communications include your emails and attachments which contain Tax Return Information. Avantax will protect the confidential nature of this information by disclosing it only in response to lawful requests made by state or federal securities regulators, or otherwise as required by law.

☐ I/we **consent** to the disclosure of my/our Tax Return Information for the purpose above.

☐ I/we **do not consent** to the disclosure of my/our Tax Return Information for the purpose above.

Due to financial industry email archiving regulations, I understand that any Tax Return Information communicated to the Firm via email may be disclosed for oversight purposes, and I will avoid emailing Tax Return Information in order to prevent this disclosure. If I still choose to send information to the Firm via email, I agree to hold the Firm harmless for any disclosure to Avantax that occurs.

Purpose Two: Disclosure in Order to Discuss Tax Planning and Financial Products and Services with You

Why is my information being disclosed? To evaluate your financial situation and provide you with information about various tax planning strategies and financial services and products, which may include, but is not limited to: individual and business tax planning, estate and gift tax planning, business consulting, bookkeeping services, retirement planning, investment advice, investment products and services (e.g., stocks, bonds, mutual funds, insurance, and annuities) and long-term care and life insurance. Services are dependent upon the licenses held by your tax preparer.

Where is my information being sent? Avantax Wealth Management (“Avantax”) is composed of: (a) Avantax Investment ServicesSM, a registered broker-dealer; (b) Avantax Advisory ServicesSM, a registered investment adviser; and (c) Avantax affiliates, all of which are headquartered at 3200 Olympus Blvd, Suite 100, Dallas, TX 75019.

What information will be disclosed, and how? All of your Tax Return Information, including communications with the Firm and any information the Firm derives or generates in connection with your tax returns. You may request a more limited disclosure of your Tax Return Information.

Your Tax Return Information will be disclosed via financial planning or other software or systems owned by the Firm or by Avantax.

Avantax and its authorized third-party vendors will retain and store your Tax Return Information in hard copy and/or electronically as required by applicable regulations, or longer as determined in the sole discretion of Avantax.

[] I/we **consent** to the disclosure of my/our Tax Return Information for the purpose above.

[] I/we **do not consent** to the disclosure of my/our Tax Return Information for the purpose above.

Signatures

The duration of this consent is three years from the date of signature, unless a more limited duration is specified here: I/we specify the duration of the above consent to be ____ years from the date of signature.

Taxpayer 1 Printed Name

Signature

Date

Taxpayer 2 Printed Name

Signature

Date

If the Firm also prepares tax returns for your *minor* children (under age 18), please print their names below. This consent also applies to their Tax Return Information.

If you believe your tax return information has been disclosed or used improperly in a manner unauthorized by law or without your permission, you may contact the Treasury Inspector General for Tax Administration (TIGTA) by telephone at 1-800-366-4484, or by email at complaints@tigta.treas.gov.