

Standard Bank Deposit Sweep Program Avantax®

Bank List for Natural Persons (Effective February 2023)

Below are the program banks in the Standard Bank Deposit Sweep Program - Natural Persons.

NOTE: A client's state of residence determines their Region. Within each Region is the priority bank order for investment of a client's funds. The table below shows the state mapping for the eight (8) Regions and the respective bank priority order.

REGION/ POSITION	REGION 1 MA, ME, NH, RI, VT	REGION 2 CT, N Y	REGION 3 AK, CO, HI, ID, KS, MT, NE, NM, NV, OR, UT, WA, WY	REGION 4 CA
1	Goldman Sachs Bank	UBS Bank USA	American Express National Bank	American Express National Bank
2	UBS Bank USA	JPMorgan Chase Bank NA	UBS Bank USA	UBS Bank USA
3	U.S. Bank National Association	American Express National Bank	JPMorgan Chase Bank NA	JPMorgan Chase Bank NA
4	BMO Harris Bank N.A.	U.S. Bank National Association	Synovus Bank	Synovus Bank
5	The Huntington National Bank	Synovus Bank	Goldman Sachs Bank	Goldman Sachs Bank
6	Flagstar Bank, N.A.	Goldman Sachs Bank	U.S. Bank National Association	U.S. Bank National Association
7	Bank of Baroda	Pinnacle Bank	Synchrony Bank	The Huntington National Bank
8	Pinnacle Bank	The Huntington National Bank	Capital One, National Association	Pinnacle Bank
9	Synchrony Bank	East West Bank	The Huntington National Bank	State Bank of India
10	State Bank of India	Flagstar Bank, N.A.	Pinnacle Bank	Flagstar Bank, N.A.
11	American Express National Bank	Synchrony Bank	Flagstar Bank, N.A.	Synchrony Bank
12	JPMorgan Chase Bank NA	Bank of Baroda	Bank of Baroda	Bank of Baroda
13	Deutsche Bank Trust Company	First Horizon Bank	State Bank of India	Capital One, National Association
14	First Horizon Bank	BMO Harris Bank N.A.	East West Bank	First Horizon Bank
15	Synovus Bank	Farmers and Merchants Bank	First Horizon Bank	East West Bank
16	The Bank of East Asia, Ltd	MUFG Union Bank, N.A.	Deutsche Bank Trust Company	Cadence Bank, N.A.
17	Capital One, National Association	Deutsche Bank Trust Company	BMO Harris Bank N.A.	Deutsche Bank Trust Company
18	Manufacturers and Traders Trust	Capital One, National Association	Cadence Bank, N.A.	Manufacturers and Traders Trust
19	East West Bank	State Bank of India	Manufacturers and Traders Trust	MUFG Union Bank, N.A.
20	MUFG Union Bank, N.A.	State Street Bank and Trust Co	MUFG Union Bank, N.A.	BMO Harris Bank N.A.
21	Cadence Bank, N.A.	Cadence Bank, N.A.	Umpqua Bank	State Street Bank and Trust Co
22	Umpqua Bank	Manufacturers and Traders Trust	The Bank of East Asia, Ltd	Umpqua Bank
23	State Street Bank and Trust Co	The Bank of East Asia, Ltd	State Street Bank and Trust Co	Farmers and Merchants Bank
24	WEX Bank	Umpqua Bank	WEX Bank	WEX Bank
25	Farmers and Merchants Bank	WEX Bank	Farmers and Merchants Bank	The Bank of East Asia, Ltd
26	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.
27	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.
28	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
29	SouthEast Bank	SouthEast Bank	SouthEast Bank	SouthEast Bank
EXCESS BANKS				
1	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
2	U.S. Bank National Assoc.	U.S. Bank National Assoc.	U.S. Bank National Assoc.	U.S. Bank National Assoc.

REGION/ POSITION	REGION 5 AL, AR, AZ, KY, LA, MO, OK, TX, TN	REGION 6 DC, DE, FL, GA, MD, MS, NC, SC	REGION 7 IA, IL, IN, MI, MN, ND, OH, SD, WI	REGION 8 NJ, PA, VA, WV, OTHERS
1	Synchrony Bank	Manufacturers and Traders Trust	American Express National Bank	Goldman Sachs Bank
2	UBS Bank USA	UBS Bank USA	UBS Bank USA	UBS Bank USA
3	JPMorgan Chase Bank NA	JPMorgan Chase Bank NA	Goldman Sachs Bank	The Huntington National Bank
4	U.S. Bank National Association	U.S. Bank National Association	JPMorgan Chase Bank NA	JPMorgan Chase Bank NA
5	East West Bank	Flagstar Bank, N.A.	U.S. Bank National Association	U.S. Bank National Association
6	The Huntington National Bank	East West Bank	The Huntington National Bank	Synovus Bank
7	Synovus Bank	The Huntington National Bank	Synovus Bank	Flagstar Bank, N.A.
8	Bank of Baroda	Synchrony Bank	Flagstar Bank, N.A.	Bank of Baroda
9	Flagstar Bank, N.A.	Goldman Sachs Bank	Pinnacle Bank	Synchrony Bank
10	American Express National Bank	American Express National Bank	Bank of Baroda	American Express National Bank
11	Goldman Sachs Bank	Synovus Bank	Cadence Bank, N.A.	Pinnacle Bank
12	Pinnacle Bank	Bank of Baroda	Capital One, National Association	First Horizon Bank
13	State Bank of India	BMO Harris Bank N.A.	Manufacturers and Traders Trust	East West Bank
14	Cadence Bank, N.A.	Pinnacle Bank	State Bank of India	Cadence Bank, N.A.
15	BMO Harris Bank N.A.	Cadence Bank, N.A.	BMO Harris Bank N.A.	State Bank of India
16	The Bank of East Asia, Ltd	Farmers and Merchants Bank	Synchrony Bank	BMO Harris Bank N.A.
17	Deutsche Bank Trust Company	First Horizon Bank	MUFG Union Bank, N.A.	Manufacturers and Traders Trust
18	First Horizon Bank	State Bank of India	First Horizon Bank	Deutsche Bank Trust Company
19	Capital One, National Association	MUFG Union Bank, N.A.	Deutsche Bank Trust Company	Umpqua Bank
20	Umpqua Bank	State Street Bank and Trust Co	The Bank of East Asia, Ltd	Capital One, National Association
21	Manufacturers and Traders Trust	Capital One, National Association	East West Bank	The Bank of East Asia, Ltd
22	Farmers and Merchants Bank	Deutsche Bank Trust Company	State Street Bank and Trust Co	State Street Bank and Trust Co
23	MUFG Union Bank, N.A.	The Bank of East Asia, Ltd	Umpqua Bank	WEX Bank
24	WEX Bank	Umpqua Bank	WEX Bank	Farmers and Merchants Bank
25	State Street Bank and Trust Co	WEX Bank	Farmers and Merchants Bank	MUFG Union Bank, N.A.
26	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.
27	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.	PNC Bank, N.A.
28	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
29	SouthEast Bank	SouthEast Bank	SouthEast Bank	SouthEast Bank
EXCESS BANKS				
1	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
2	U.S. Bank National Assoc.	U.S. Bank National Assoc.	U.S. Bank National Assoc.	U.S. Bank National Assoc.

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The order and composition of the Program Bank List may change at any time. Please consult your Avantax Financial Professional with any questions. For complete details on the program please refer to the Standard Bank Deposit Program- Natural & Non-Natural Persons Disclosure Document.

You may contact your Avantax Financial Professional to designate a bank as ineligible to receive funds (otherwise known as "opting out" of a bank). Opting out of a Program Bank will affect the amount of your deposits eligible for FDIC insurance.

Excess Banks will accept funds without limitation and without regard to the Maximum Applicable FDIC Deposit Insurance Amount once funds equal to the Maximum Deposit Amount (as defined in the Bank Deposit Sweep Program Disclosure Document) have been deposited for you through the Bank Deposit Sweep Program in each Bank on the Program Bank List.

It is your obligation to monitor the total amount of deposits that you have at each bank, including through bank accounts, certificates of deposit ("CDs"), and deposits held through other brokers for purposes of reviewing deposits which may be eligible for insurance by the FDIC. 021423